RESPONSIBLE CARE CONSIDERATIONS FOR SUSTAINABLE DEVELOPMENT IN RADIATION CURE MARKETS

VIVIEN CLAYTON

INNOVATING WITH YOU IN MIND

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AGENDA

Corporate Social Responsibility

- CSR Definition
- Arkema Commitment

Responsible Care

- History of Responsible Care
- Market Highlights
 - Personal Care
 - Graphic Arts
 - Bio-Based Materials
- Summary





CORPORATE SOCIAL RESPONSIBILITY



CORPORATE SOCIAL RESPONSIBILITY



Corporate Social Responsibility (CSR) is a company's commitment to manage the community, economic, environmental and workplace.



CORPORATE SOCIAL RESPONSIBILITY





Responsible Care®

- 1. <u>A corporate Leadership Culture</u> that proactively supports safe chemical management through the global Responsible Care initiative
- 2. <u>Safeguarding People</u> and the Environment by continuously improving our environment, health and safety performance
- 3. <u>Strengthening Chemical Management</u> <u>Systems</u> by participating in development and implementation of lifecycle-oriented, soundscience and risk-based chemical safety legislation and best practices
- 4. <u>Influencing Business Partners</u> to promote the safe management of chemicals within their own operations
- 5. <u>Engaging Stakeholders</u>, understanding and responding to their concerns and expectations for safer operations
- 6. <u>Contributing to Sustainability</u> through improved performance, expanded economic opportunities and the development of innovation technologies and other solutions to societal challenges

Human Rights

- <u>Principle 1</u>: Businesses should support and respect the protection of internationally proclaimed human rights
- <u>Principle 2</u>: make sure that they are not complicit in human rights abuses

Labor

- <u>Principle 3</u>: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining
- <u>Principle 4</u>: the elimination of all forms of forced and compulsory labor
- Principle 5: the effective abolition of child labor
- <u>Principle 6</u>: the elimination of discrimination in respect of employment and occupation

Environment

- <u>Principle 7</u>: Businesses should support a precautionary approach to environmental challenges
- <u>Principle 8</u>: undertake initiatives to promote greater environmental responsibility
- <u>Principle 9</u>: encourage the development and diffusion of environmentally friendly technologies

Anti-Corruption

• <u>Principle 10</u>: Businesses should work against corruption in all its forms, including extortion and bribery



17 Goals

- 1. No Poverty
- 2. Zero Hunger
- 3. Good Health & Well-Being
- 4. Quality Education
- 5. Gender Equality
- 6. Clean Water and Sanitation
- 7. Affordable and Clean Energy
- 8. Decent Work and Economic Growth
- 9. Industry, Innovation and Infrastructure
- 10. Reduced Inequalities
- 11. Sustainable Cities and Communities
- 12. Responsible Consumption and Production
- 1. Climate Action
- 2. Life Below Water
- 3. Life on Land
- 4. Peace, Justice and Strong Institutions
- 5. Partnerships For the Goals



ARKEMA CORPORATE SOCIAL RESPONSIBILITY POLICY



OUR MISSION Develop, as a responsible industrial company, innovative solutions adapted to our customers' main challenges and support them in their quest for sustainable performance

OUR 3 COMMITMENTS:



ROBECOSAM

Cultivate an open dialogue and close relations with our stakeholders

- Ethics
- Human rights
- Employee development
- Responsible value chain
- Corporate citizenship

Recognition: **Recognition**





6 RESEARCH PLATFORMS DEDICATED TO SUSTAINABLE DEVELOPMENT



e.g. Kynar® PVDF, Elium[®] liquid resin

Pebax[®] Rnew[®] elastomer.

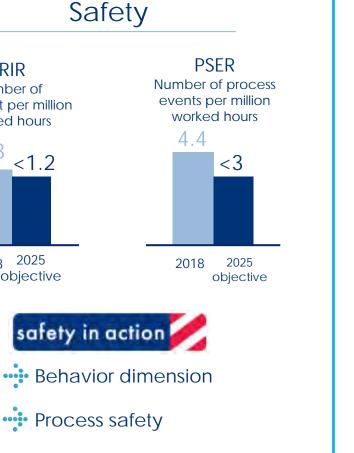
Sarbio[®] advanced liquid resins

LAMBSON

SARTOMER

MANAGING OUR ACTIVITIES AS A RESPONSIBLE MANUFACTURER







Environment 2025 (compared to 2018 2012) (compared to objectives -54 % -50 % Achieved -38 % -33 % Achieved -41 % -40 % Achieved -12 % -15 % ARKENERGY OPTIM'

Arkema is accomplishing a safer work place while reducing environmental impact.



RADIATION CURE BENEFITS



- Green technologyNo solvent waste
- Low hazard

Very low VOC emissions



- Process efficiency and flexibility
- Room-temperature curing
- Low heat generation
- Simple adaptation to existing lines
- Ease of cleaning

10 times less space utilization than a conventional drying oven



- High productivity and cost savings
- Reduced cycle time
- Increased throughput
- Instant on/off
- Low energy consumption
- * No solvent waste recycling
- Minor maintenance cost

4 times less energy consumption than thermal curing





SARTOMER RESPONSIBLE CARE®



- Global initiative that began in Canada in 1984 that today is practiced by 68 economies around the world.
- Responsible Care[®] aims to help chemical companies enhance their performance and improve the health and safety of their employees, the communities in which they operate and environment as a whole.

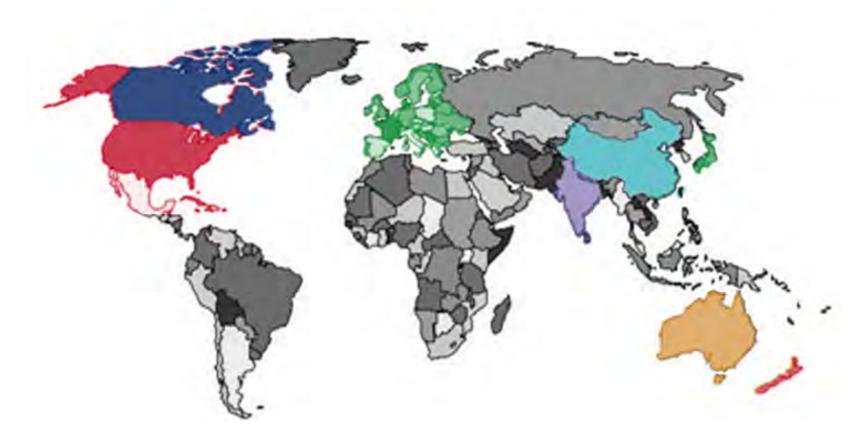


Sartomer has dedicated resources to apply Responsible Care[®] initiatives within a variety of markets.



REGULATIONS

World Regulation



USA: TSCA, Green Chemistry (CA), Consumer Product Safety Canada: Chemical Management Plan EU: REACH, ROHS, WEEE India: RoHS, WEEE China: Measures on Environmental Management of New **Chemical Substances** Japan: Chemical Substance Control Law Australia: National Industrial Chemicals Notification and Assessment Scheme New Zealand: Hazardous Substances and New Organisms Korea: REACH

These regulations are constantly changing and evolving, so it is critical to keep up-to-date records at a global level.





COSMETICS & PERSONAL CARE



COSMETICS



Regulatory Constraints Europe

- EC N°1223/2009 Cosmetic regulation in force since July 2013
 - prohibits the use of CMR substances
 - HQ & MEHQ are restricted substances (Annex III)
 - NB: Manufacturers and Importers are responsible for checking compliance with the EU cosmetics laws, including:
 - Assessing and documenting that the product is safe
 - Checking that the product does not contain any prohibited substance



Regulatory Constraints U.S.

- Premarket approval is not required, but manufacturers are responsible for substantiating the safety of their products and ingredients before marketing
- FDA does not have the authority to require cosmetic manufacturers to test their products for safety or make their test results available to FDA.
- In the absence of federal oversight, states have taken steps to regulate the safety of cosmetics

Only 11 substances banned or restricted in Personal Care in U.S. vs. >1300 substances are banned in the EU.



COSMETICS & PERSONAL CARE

Responsible Care® Approach

- Globally observe EC N°1223/2009
- Prohibited use of CMR substances
- Restricted use of HQ & MEHQ (Annex III)
- No Sensitizing Category 1 monomers
- Restricted Use of Sensitizing 1B unless the end user develops a final formulation that is not sensitizing

Go Above and Beyond what is required by Regulations

		О
Name	THFMA	HEMA
GHS hazards		(!)
Toxicity	Repro Category 1B	N/A
Skin Sensitization	Category 1	Category 1
Irritation	None	Eye 2A
TSCA	×	 Image: A second s
REACH	 Image: A second s	 Image: A second s
EC N°1223/2009 compliant	×	×

Sartomer will not promote products into cosmetics & personal care that do not meet our Responsible Care® guidelines

EXAMPLE – NAIL GEL POLISH



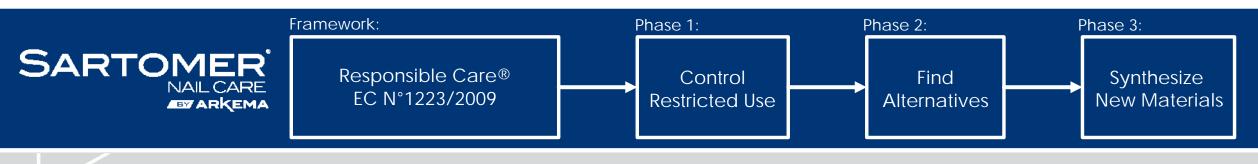
Potential content of (meth)acrylate functionalized materials.

Common Components in Domestic Formulations

Monomers: EMA, HEMA, THFMA, HDDMA, TMPTMA, TMDC, Di-HEMA, HPMA, TEGDMA, EDGMA, IBOMA, and more.

Oligomers: Methacrylate Oligomers, Acrylate Oligomers, Other

Solvents: Nitrocellulose, Ethyl Acetate, Butyl Acetate, IPA and more.











Regulatory Constraints Europe

- EC N°1935/2004 Food Contact Materials
 - Outline of processing and testing set forth by EU
- EC N°10/2011- Plastic Regulation
 - Outline for plastic materials and articles intended to some in contact with food
- EuPIA Guidelines for FCM inks and adhesives
 - Any raw material that is classified as "carcinogenic", "mutagenic" or "toxic for reproduction" CANNOT be used for food contact.



Regulatory Constraints U.S.

- FDA 21CFR174-179- indirect food additives and positive list of substances for food contact materials.
- FDA 21CFR181-186- list of prior sanctioned substances in FCM before 1958, and list of generally recognized as safe (GRAS) substances.
- FDA 21CFR170.39- substances in FCM may be exempted by FDA if shown below 0.5 ppb.
 Overall, FDA says BPA, toluene and more chemicals are still allowed for FCM.

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- Swiss Positive List
 - List of materials that are allowed to be used in food contact applications.
- Nestlé Exclusion/Minimize List
 - List of materials that are excluded or should be minimized in use for food contact applications.

Most companies are adopting all these regulations at once for food contact material applications.



NESTLÉ LIST

Chemical	CAS Number	Chemical	CAS Number
1,4-Butanediol Diacrylate (BDDA)	1070-70-8	Trimethylolpropane Triacrylate (TMPTA)	15625-89-5
Diethylene Glycol Diacrylate (DEGDA)	4074-88-8	Dipropylene Glycol Diacrylate (DPGDA)	57472-68-1
Isodecyl Acrylate (IDA)	1330-61-6	1,6-Hexanediol Diacrylate (HDDA)	13048-33-4
Octyldecyl Acrylate (ODA)	2499-59-4	Pentaerythritol Tetracrylate (PETA)	3524-68-3
2-Phenoxyethyl Acrylate (PEA)	48145-04-6	Tetraethylene Glycol Diacrylate (TEGDA)	17831-71-9
Tabla 1. Nastlá Evolusion List		Table 2: Nastlá Minimiza I	lot

Table 1: Nestlé Exclusion List

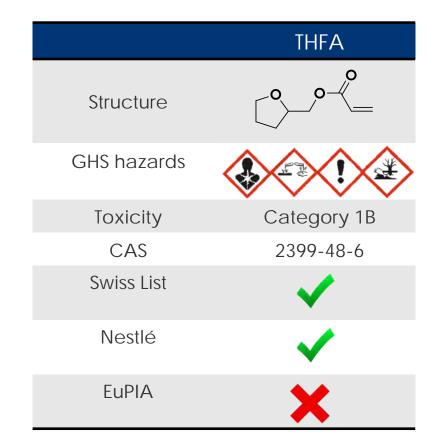
Table 2: Nestlé Minimize List

Sartomer is actively working on delivering safe alternatives for products on the Nestlé List.



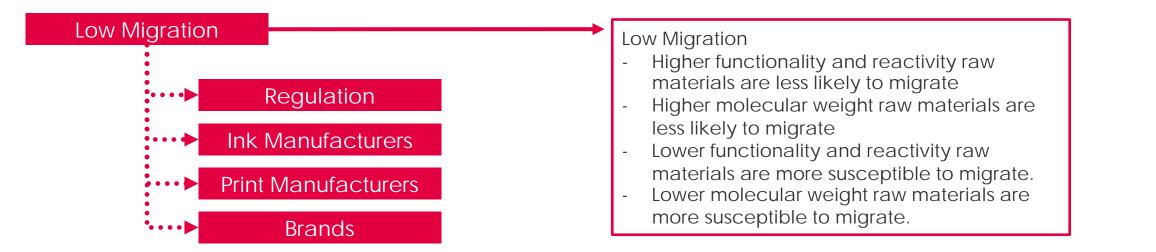
EuPIA Guidelines

- Outline of raw material classifications
 - classified as "carcinogenic", "mutagenic" or "toxic for reproduction" CANNOT be used for FCM
 - Classified as toxic or very toxic CANNOT be used for FCM
 - Colorants based on Sb, Ar, Cd, Cr(VI), Pb, Hg, or Se CANNOT be used for FCM
 - All substances must be identified in REACH Regulation
- Testing procedure and migration limits set:
 - 10 ppb for material with insufficient tox data
 - 50 ppb for substances that demonstrate not to be genotoxic
 - >50 ppb if substances have favorable toxicological data

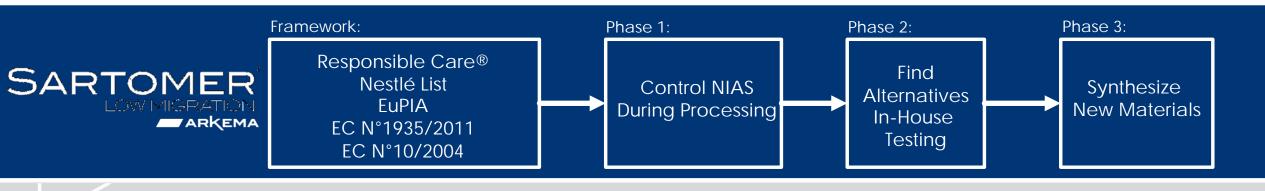


As a raw material supplier, it is critical to be aware of each regulation standard.





Proper definition and identification is required when discussing low migration.







BIO-BASED SOLUTIONS



BIO-BASED SOLUTIONS FOR UV/EB CURING

Growing BioEconomy – Drive for Bio-renewable Materials

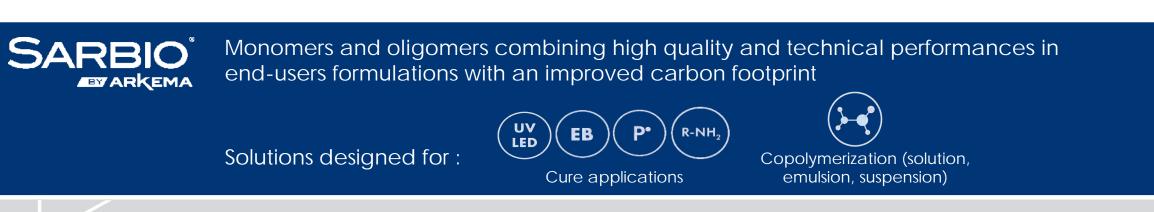
- Sustainably sourced raw materials
- Aim to convert waste into product

- Pursue energy independence
- Mitigate greenhouse gas emissions
- Bio-Renewable Solutions for UV/EB Cure
 - (Meth)Acrylates derived from Soybean, Castor, Pine, Corn, Flax, and others available today
 - Bio-Renewable content (ASTM D 6866) available from 25% to 90%
 - See USDA BioPreferred® Program (biopreferred.gov) for definitions of biobased content

Challenges

- Achieving UV/EB curable (Meth)Acrylates with 100% bio-renewable content
- Registration requirements (TSCA/REACH) of novel Bio-Sourced materials limits options

Sartomer is dedicated to deliver more Bio-Sourced, Sustainable Solutions





SUMMARY



Overall there are many advantages for being a responsible partner within the industry

